

TERMS OF REFERENCE FOR IMPACT EVALUATION STUDY OF CSR PROGRAMS
UNDERTAKEN BY SJVN LIMITED

Background of SJVN CSR programs:

SJVN Ltd was incorporated on May 24, 1988 as a joint venture of the Government of India and the Government of Himachal Pradesh to plan, investigate, organize, execute, and operate and to maintain power projects. Ever since the commissioning of the largest underground 1500 MW NathpaJhakri Hydro Electric Power Project, SJVN has been expanding its base from a single project to multi projects not only in hydro projects, but also in thermal, wind and solar energy sector. In its vision is to make India a fountainhead of power and the energy source of the future. By embracing Corporate Social Responsibility, SJVN endeavors to make meaningful contribution towards improvement of social infrastructure and sustainable socio-economic development of communities.

The CSR Policy of SJVN has been evolved on the basis of CSR guidelines issued by the Ministry of Corporate Affairs, and the Ministry of Heavy Industries and Public Enterprises, GOI and company act 2013. SJVN is committed to the concerns of its stakeholders and strives to maintain good standards of corporate social responsibility (CSR) in its business activities. To meet this commitment SJVN respects the rule of law, local communities and societies at large and it is making conscious efforts to enhance the quality of life through its CSR programs.

Hydroelectric power projects form the backbone of SJVN. These are located in far reaches of mountainous regions which are scarce in infrastructural facilities and where the populace is socioeconomically backward. SJVN being a responsible corporate citizen strives to bring about overall positive impact on societies living in such regions. SJVN has empanelled credible civil society organizations to carry out the CSR projects in its project affected areas since 2009. The CSR projects in SJVN are undertaken in various verticals which are as follows.

- Education and skill development
- Health and hygiene
- Promotion of culture and sports
- Infrastructure development and community development
- sustainability

- Assistance during natural disasters

List of the activities under CSR to be covered under the study is attached at Annexure 2.

Rationale of the study

The ultimate test of the success of any CSR and Sustainability activity / project is the social, economic or environmental impact thereof. Every such activity is planned and implemented with some anticipated impact on society or environment. It is against such perception and expectation of impact that the completed activity / project should be measured to ascertain the degree of its success, or failure. As per the revised guideline issued by DPE, GOI dated 10th august 2014, It is desirable that CPSEs should get an impact evaluation study done by external agencies of the CSR activities / projects undertaken by them. Impact evaluation is mandatory for mega projects, the threshold value of which can be determined by the Board of a CPSE and specified in its CSR and Sustainability policy. Therefore, the public sector companies should get an assessment done of the social / economic / environmental impact of their CSR and Sustainability activities after the same are completed. From the point of view of SJVN, impact evaluation will help in documenting the good model projects undertaken by CSR wing and provide due recognition to the company for its splendid efforts. It will also be helpful in identifying the gaps in the project implementation and recommend corrective measures. So SJVN is proposing the impact evaluation of all its CSR projects cutting across all the verticals.

Purpose of the study:

The purpose of the study is to assess the socio-economic impact of the CSR programs Except educational and skill development programs undertaken by SJVN Limited

Objectives:

- To assess the socio-economic impact of health and hygiene programs carried out by SJVN
- To Assess the socio-economic impact of infrastructure and community development programs carried out by SJVN
- To Assess the socio-economic impact of sustainable development programs carried out by SJVN
- To assess the socio-economic impact of the CSR initiatives taken in the areas of preservation and promotion of culture and sports,

Scope of the impact evaluation study

The proposed study will be a learning document for SJVN to introspect on both its gaps and achievements in CSR programs and suggests steps for its better implementation. The document will be used by SJVN for both internal and external purpose.

Planning stage:

A consultative meeting will be conducted between the commission agency “SJVN” and the consultant. The consultant will submit a detail project proposal consisting of sample size, clear methodology, budget and time schedule. SJVN will provide all the necessary resources “ approval, permission letter, facilitation in the field, sharing its baseline surveys report, etc. The consultant would collect secondary data from the concerned projects. The consultant will be responsible for timely completion of the impact assessment and update the progress of the study time to time.

Execution stage

The Approximate duration of the study will be for 4 months. All the projects except educational and skill development programs in in the respective states (HP, Uttarkhand, Bihar, Maharastra, Arunachal Pradesh)will be covered in this study. The project team will facilitate the consultant at field level for mobilizing beneficiaries and collecting data. All the CSR verticals except education and skill development will be covered in this study.

The projects to be covered under the impact evaluation study are attached in annexure 1.

Completion stage

In this stage, data will be compiled and draft report will be generated and submitted to SJVN. The draft will further be converted into a publishable document and handed over along with All the raw data collected during the study

Tasks to be carried out under the impact evaluation study

Following baseline survey reports can be referred while making impact evaluation study.

- Survey conducted by Agro-Economic Research Centre and HP University Shimla under NJHPS
- Survey conducted by AERC and HP University under LHEP
- Survey conducted by CSK & Palampur University under DSHEP
- Survey conducted by Gadwal University Uttarkhand under DHEP
- Survey conducted by Gadwal University UK under NM & JS HEP
- Survey conducted by FRI Dehradun UK under Jakhol Sankhri

Apart from Survey reports, the consultant is also expected to collect secondary data from SJVN project offices and local government agencies and primary data from study areas as well as control areas and carry out following tasks.

Health & hygiene

- To examine the types of benefits occurred to people due to the health and hygiene programs
- To assess the quality of services provided to local people through health and hygiene programs
- Analysis of the health facilities and major ailment prevailed in the concerned project areas
- To examine the relevance of the programs for the local community
- To examine whether the aspiration of the people have been met through the health and hygiene programs
- To examine whether the benefits of the health and hygiene programs reaching to poorest of poor
- Consultation with the local communities with regard to assess their opinion on implementation, benefits, feedback etc of the health & hygiene programs
- To suggest feedback on the implementation of health & hygiene programs and to provide suggestive measures for improvement.

Infrastructure & community development

- To examine the types of benefits occurred to public from the infrastructure and community development programs
- To prepare project wise inventory of infrastructure facilities created in the community
- To examine the local participation in building and maintaining the community assets
- Analysis of the impact of toilets constructed under Swachh Vidyalaya Abhiyan
- To analyze whether the aspiration of the local people have been met through such programs
- To analyze whether the benefits of the programs reaching to the poorest of the poor or not
- Consultation with the local communities with regard to assess their opinion on implementation, benefits, feedback etc of the infrastructure and community development programs

- To suggest feedback on the implementation of infrastructure and community development programs and to provide suggestive measures for improvement.

Sustainability

- To examine the benefits occurred to the public through sustainability programs
- To examine the local participation in execution of the programs,
- To analyze whether the benefits of the programs reaching to the poorest of the poor or not .
- To examine the aspiration of public have been met through sustainability programs
- Consultation with the local communities with regard to assess their opinion on implementation, benefits, feedback etc of the Sustainability programs
- To suggest feedback on the implementation of sustainability programs and to provide suggestive measures for improvement.

Promotion and preservation of sports and culture

- To analyze the impacts of the programs carried out under the component of promotion and preservation of culture and sports
- Consultation with the local communities with regard to assess their opinion on implementation, benefits, feedback etc of the sports and cultural programs
- To suggest feedback on the implementation of sports and cultural program and to provide suggestive measures for improvement.

Approaches and methodology:

The proposed study will be both quantitative and qualitative in nature. It will focus on both descriptive and exploratory aspects. DATA will be collected from both secondary and primary sources.

Secondary data

The secondary data consists of baseline survey reports, Data from project offices, annual report; CSR newsletter/bulletin, newspaper cuts and any other publications.

Sampling

Random sampling technique will be used for the study. The beneficiaries across all the CSR projects will be the universe of the study. The maximum sample size may consist of 30% of the beneficiaries of all the single projects representing both males and females.

Data collection method

Case story method will be used to collect data from partnered CBOs on organizational aspects. Questionnaire consisting of both open and closed ended questions and semi-structured interview will be adopted for the study. In order to finalize the questionnaire and interview schedule, pilot testing will be done and all the shortcomings will be improved upon. It will take 45 days to complete the data collection.

Data analysis:

The open ended questions will be put in a word document and common responses put together and coding will be done and subsequently included in the database. All the questions will be fed into the SPSS software and analyzed appropriately. Qualitative data will also be analyzed through metrics and other techniques.

Research agency:

An independent research agency will be deputed for the impact evaluation study. The Size of the research team can be decided by the consulting agency. The entire team members need to be oriented regarding the research tools and ethical issues in conducting research study.

Guiding principles

- To ensure the accuracy and credibility of the evaluative information they produce, evaluators should adhere to the highest technical standards appropriate to the methods they use.
- Evaluators should possess (or ensure that the evaluation team possesses) the education, abilities, skills and experience appropriate to undertake the tasks proposed in the evaluation
- Evaluators should display honesty and integrity in their own behavior, and attempt to ensure the honesty and integrity of the entire evaluation process.
- Evaluators respect the security, dignity and self-worth of respondents, program participants, clients, and other evaluation stakeholders.
- Evaluators articulate and take into account the diversity of general and public interests and values that may be related to the evaluation

Composition and profile of the Research team

The core evaluation team should be a multi disciplinary group with sufficient experience in the socio economic field having considerable experience in carrying out similar impact evaluation studies. The core team must have experience in community development; CSR related activities, public consultations and infrastructural development. Limited inputs of

civil engineer may be necessary to assess the impact of infrastructure facilities developed in the project areas.

Terms and conditions of work and payment

40% payment will be released after inception of the study, 30% after receipt of draft report and 30% after receiving complete report.

Nodal officer

Officer-in- charge for all correspondence shall be:-

D.p Kaushal

AGM P-CSR

Corporate office

SJVN Limited , Shakti Sadan, new office complex,

Shanan, Shimla HP 171006

or his authorized representative.

Ph. 0177 2660275

E-mail: dp.kaushal@sjvn.nic.in

Time frame:

Background work	15 Days
Data collection	45 days
Data analysis	30 days
Report writing	30 days
Total duration	120 days

vij egkicad (iki.k)

Annexure-A1**List of the projects where impact study to be carried out:**

SL	Name of Projects	District(s)	State
1	Nathpa Jhakri Hydro Power Station	Shimla, kinnaur	HP
2	Rampur Hydro Electric Project	Kullu, Shimla	HP
3	Luhri HEP	Shimla, Kullu, Mandi	HP
4	Dhaultasidh HEP	Hamirpur, Kangra	HP
5	Devsari HEP	Chamoli	Uttarakhand
6	Naitwar Mori& JS HEP	Uttarkashi	Uttarakhand
7	Buxar Thermal Power Project	Buxar	Bihar
8	Khairwire Wind Power Project	Ahmednagar	Maharashtra
9.	Arunachal Pradesh Hydro Electric Project	Papumpare	AP

vij egkicad (il.k)

List of CSR programs to be covered under the social impact evaluation study

- Satluj Sanjivani Seva (Mobile Medical Van, 12 vans)
- General and Specialized Health Camps
- SJVN women and child development scheme
- Programs for welfare of persons with disability
- Infra and community development works at project locations
- Infra and community development works at MC Shimla
- Toilets constructed under Swatch Vidyalaya Abhiyan
- Sustainability works like rehabilitation of water bodies, installation of high mass lights and others
- Promotion of sports and cultural activities

विकास (iii)